

Forward Compliance Cost Plan for Survey Activity within BIS and its Partner Organisations: 2010/11, 2011/12 & 2012/13

Background

1. Compliance costs are an estimate, in monetary terms, of the time taken by businesses and local authorities to complete a survey. They are estimated using the time taken to complete a survey multiplied by an hourly rate of pay that is dependent on the experience of the person completing the survey. Hourly rates of pay are provided each year by the Office for National Statistics (ONS).
2. This annex provides an estimated compliance cost plan for 2010/11 and provisional estimates for 2011/12 and 2012/13.

Summary

- Total compliance cost for the proposed 2010/11 BIS surveys is currently estimated to be around £2.9 million.
- The large increase in BIS compliance cost in 2010/11 and 2012/13 (around 50% higher than for 2009/10) is due to the large biennial UK Innovation Survey, which has a projected compliance cost of £1.2 million.
- The proposed BIS surveys cover an estimated total of 77,000 contacts.
- Total compliance cost for the proposed 2010/11 surveys in partner organisations is estimated to be £0.1 million, covering 67,000 contacts.
- The compliance cost of partner organisation surveys is estimated to increase to around £3 million in 2011/12, similar to the 2009/10 figure, due to the biennial National Employers Skills Survey.
- Further to the known activity for 2010/11 there are also likely to be a number of as yet un-planned surveys throughout the year. Based on the number and cost of such surveys in previous years, for 2010/11–2012/13 an additional £350,000 has been added to the BIS estimated compliance cost. Similarly, £300,000 has been added to the partner organisation compliance cost.

Kelly Taylor

**BIS and Partner Organisation Forward
Compliance Plan Summary**

Appendix B1

Table B1: Compliance Cost; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^{a, b, c, d, e}

Survey Type	Compliance Cost (£ thousands)				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
BIS					
Regular surveys	1,469	1,172	2,563	1,469	2,869
Ad-hoc surveys	69	540	-	-	-
Unplanned			350	350	350
Subtotal	1,538	1,711	2,913	1,819	3,219
POs					
Regular surveys	63	2,794	546	2,912	105
Ad-hoc surveys		293	4	-	-
Unplanned			300	300	300
Subtotal	63	3,087	850	3,212	405
All					
Regular surveys	1,531	3,966	3,109	4,381	2,975
Ad-hoc surveys	69	832	4	-	-
Unplanned			650	650	650
Total	1,601	4,798	3,762	5,031	3,625

Table B2: Number of Contacts; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^{a, b}

Survey Type	Number of Contacts				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
BIS					
Regular surveys	67,703	44,793	77,126	48,667	79,133
Ad-hoc surveys	13,594	79,233	-	-	-
Subtotal	81,297	124,026	77,126	48,667	79,133
POs					
Regular surveys	10,900	225,414	66,852	196,361	21,361
Ad-hoc surveys	35	76,983	500	-	-
Subtotal	10,935	302,397	67,352	196,361	21,361
All					
Regular surveys	78,603	270,207	143,978	245,028	100,494
Ad-hoc surveys	13,629	156,216	500	-	-
Total	92,232	425,423	144,478	245,028	100,494

Notes

- Shaded figures are not comparable to actual 2009/10 figures.
- Estimated figures for 2011/12 and 2012/13 are not very reliable as survey sponsors may not yet know of future survey work in their Divisions.
- Components may not sum to totals as a result of rounding.
- The increase in BIS compliance costs in 2010/11 and 2012/13 is due to the large biennial UK Innovation Survey. The increase in partner organisation compliance costs in 2011/12 is due to the large biennial National Employers Skills Survey.
- Hourly pay levels for each level of an organisation are set by the ONS. The rate of increase in hourly pay levels has been very variable since 1992. The estimated compliance costs for 2010/11 to 2012/13 are calculated based on the 2009/10 average increase (4.9%).

Table B3: Number of Surveys; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^{a, b}

Survey Type	Number of Surveys				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
BIS					
Regular surveys	23	20	18	20	19
Ad-hoc surveys	7	28	-	-	-
Subtotal	30	48	18	20	19
POs					
Regular surveys	5	10	9	5	4
Ad-hoc surveys	1	22	1	-	-
Subtotal	6	32	10	5	4
All					
Regular surveys	28	30	27	25	23
Ad-hoc surveys	8	50	1	-	-
Total	36	80	28	25	23

Table B4: BIS/Partner Organisation Cost; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^{a, b, c, d}

Survey Type	Cost to BIS/Partner Organisations (£ thousands)				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
BIS					
Regular surveys	774	531	549	1,497	874
Ad-hoc surveys	257	1,341	-	-	-
Subtotal	1,030	1,872	549	1,497	874
POs					
Regular surveys	333	236	668	178	176
Ad-hoc surveys		215	3	-	-
Subtotal	333	451	670	178	176
All					
Regular surveys	1,107	767	1,217	1,675	1,050
Ad-hoc surveys	257	1,556	3	-	-
Total	1,364	2,323	1,220	1,675	1,050

Notes

- Shaded figures are not comparable to actual 2009/10 figures.
- Estimated figures for 2011/12 and 2012/13 are not very reliable as survey sponsors may not yet know of future survey work in their Divisions.
- Components may not sum to totals as a result of rounding.
- There is often insufficient data to accurately forecast cost to BIS.

Table B5: Compliance Cost; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^{a, b, c, d}

Survey Type	Compliance Cost (£ thousands)				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	1,469	1,172	2,563	1,469	2,869
Ad-hoc surveys	69	540	-	-	-
Unplanned			350	350	350
BIS Total	1,538	1,711	2,913	1,819	3,219

Table B6: Number of Contacts; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^a

Survey Type	Number of Contacts				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	67,703	44,793	77,126	48,667	79,133
Ad-hoc surveys	13,594	79,233	-	-	-
BIS Total	81,297	124,026	77,126	48,667	79,133

Table B7: Number of Surveys; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^a

Survey Type	Number of Surveys				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	23	20	18	20	19
Ad-hoc surveys	7	28	-	-	-
BIS Total	30	48	18	20	19

Table B8: BIS Cost; Actual 2008/09-2009/10, Estimated 2010/11-2012/13 ^{a,b,e}

Survey Type	Cost to BIS (£ thousands)				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	774	531	549	1,497	874
Ad-hoc surveys	257	1,341	-	-	-
BIS Total	1,030	1,872	549	1,497	874

Notes

- a. Estimated figures for 2011/12 and 2012/13 are not very reliable as survey sponsors may not yet know of future survey work in their Divisions.
- b. Components may not sum to totals as a result of rounding.
- c. The increase in BIS compliance costs in 2010/11 and 2012/13 is due to the large biennial UK Innovation Survey.
- d. Hourly pay levels for each level of an organisation are set by the ONS. The rate of increase in hourly pay levels has been very variable since 1992. The estimated compliance costs for 2010/11 to 2012/13 are calculated based on the 2009/10 average increase (4.9%).
- e. There is often insufficient data to accurately forecast cost to BIS.

Table B9: Forward Compliance Plan 2010/11; Provisional List of Surveys

Directorate	Sponsor	Survey Title	Frequency	Compliance Cost	Number of Contacts	Cost to BIS
Economics and Policy Analysis	Keith Folwell	Annual Minerals Raised Inquiry	Regular	£12,821	1,400	£15,500
Economics and Policy Analysis	Keith Folwell	Building Materials Inquiries	Regular	£145,420	2,808	£107,255
Economics and Policy Analysis	Tim Andrews	International Trade in Services	Regular	£775,255	18,063	£0
Fair Markets	Mr Christopher Parish	Export Control Organisation Customer Satisfaction Survey 2009/10	Regular	£8,357	1,618	£0
Innovation and enterprise	Phillip Lacey	Small Business Survey 2010	Regular	£158,855	6,000	£200,000
Science and Research	Simon Bright	Annual Survey of Knowledge Transfer Activities in Public Sector Research Establishments	Regular	£4,019	138	£25,000
Science and Research	Simon Bright	Monitoring the Sustainability of the Public Sector Research Establishments	Regular	£13,065	36	£34,126
Strategy and Communications	Jan Woods	BIS Stakeholder Satisfaction Survey	Regular	£5,952	320	£70,000
Strategy and Communications	Jan Woods	MORI - Captains of Industry Survey 2009	Regular	£4,628	100	£22,000
Science and Research	Mark Beatson	UK Innovation Survey 2010	Regular	£1,211,465	28,982	£500
UKTI	Heather Booth di Giovanni	Awareness and internationalisation tracking survey	Regular	£29,207	4,500	£5,000
UKTI	Heather Booth di Giovanni	Barriers to UK Businesses in specified overseas markets	Ad-Hoc	£8,209	902	£0
UKTI	Heather Booth di Giovanni	Evaluation of specified UKTI services survey	Regular	£15,146	1,000	£35,000
UKTI	Heather Booth di Giovanni	Evaluation of specified UKTI services survey	Regular	£15,146	1,000	£35,000
UKTI	Heather Booth di Giovanni	International Trade Support Performance and Impact Monitoring Survey (PIMS)	Regular	£136,896	8,800	£0
UKTI	Heather Booth di Giovanni	Performance and Impact Monitoring Survey (PIMS) Inward Investment	Regular	£4,544	300	£0
UKTI	Heather Booth di Giovanni	Survey of non-user exporters	Regular	£9,680	750	£0
UKTI	UKTI DSO BD Market Analysis	UKTI DSO Survey of Defence Exports	Regular	£4,159	409	£0
Subtotal				£2,562,823	77,126	£549,381
Unplanned				£350,000		
BIS Total				£2,912,823	77,126	£549,381

Table B10: Compliance Cost; Actual 2008/09-2009/10, Estimated 2010/11-2012/13^{a, b, c, d, e}

Survey Type	Compliance Cost (£thousands)				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	63	2,794	546	2,912	105
Ad-hoc surveys		293	4	-	-
Unplanned			300	300	300
PO Total	63	3,087	850	3,212	405

Table B11: Number of Contacts; Actual 2008/09-2009/10, Estimated 2010/11-2012/13^{a, b}

Survey Type	Number of Contacts				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	10,900	225,414	66,852	196,361	21,361
Ad-hoc surveys	35	76,983	500	-	-
PO Total	10,935	302,397	67,352	196,361	21,361

Table B12: Number of Surveys; Actual 2008/09-2009/10, Estimated 2010/11-2012/13^{a, b}

Survey Type	Number of Surveys				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	5	10	9	5	4
Ad-hoc surveys	1	22	1	-	-
PO Total	6	32	10	5	4

Table B13: Partner Organisation Cost; Actual 2008/09-2009/10, Estimated 2010/11-2012/13^{a, b, c, f}

Survey Type	Cost to Partner Organisations (£ thousands)				
	Actual 2008/09	Actual 2009/10	Estimate 2010/11	Estimate 2011/12	Estimate 2012/13
Regular surveys	333	236	668	178	176
Ad-hoc surveys		215	3	-	-
PO Total	333	451	670	178	176

Notes

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- Components may not sum to totals as a result of rounding.
- The increase in partner organisation compliance costs in 2011/12 is due to the large biennial National Employers Skills Survey.
- Hourly pay levels for each level of an organisation are set by the ONS. The rate of increase in hourly pay levels has been very variable since 1992. The estimated compliance costs for 2010/11 to 2012/13 are calculated based on the 2009/10 average increase (4.9%).
- There is often insufficient data to accurately forecast cost to BIS.

Table B14: Forward Compliance Plan 2010/11; Provisional List of Surveys

Directorate	Sponsor	Survey Title	Frequency	Compliance Cost	Number of Contacts	Cost to POs
Insolvency Service	Melanie Charlton	Business Confidence in Enforcement Regime (NoPs)	Regular	£36,098	4,188	£57,586
Insolvency Service	Melanie Charlton	Insolvency Service Creditors survey	Regular	£525	100	£52
Intellectual Property Office	Rob Sanders	Customer Satisfaction	Regular	£223	103	£0
Intellectual Property Office	Rob Sanders	Customer Satisfaction	Regular	£216	100	£0
National Measurement Office	National Measurement Office	Annual Customer Satisfaction Survey	Regular	£1,587	471	£0
National Measurement Office	National Measurement Office	Section 70 returns	Regular	£16,149	161	£0
Postcom	Sean O'Hara	Customer Survey 2009	Regular	£10,097	500	£77,913
Postcom	Rebecca Churchill	Business customer survey 2009	Regular	£67,987	20,229	£98,143
Technology Strategy Board	Philippa Cummings	Approach to innovation in your business	Ad-Hoc	£3,857	500	£2,750
UKCES	UK CES	Employer Perspectives Survey	Regular	£412,879	41,000	£434,000
Subtotal				£549,617	67,352	£670,445
Unplanned				£300,000		
PO Total				£849,617	67,352	£670,445