

DTI Forward Compliance Cost Plan on Survey Activity: 2006/07, 2007/08 & 2008/09

1. Compliance costs are an estimate, in monetary terms, of the time taken by businesses and local authorities to complete a survey. They are estimated using the time taken to complete a survey multiplied by an hourly rate of pay dependent on the experience of the person completing the survey. Hourly rates of pay are provided each year by the Office for National Statistics (ONS).
2. This report provides an estimated compliance cost plan for 2006/07 (Appendix B – Tables B1 to B5) and provisional estimates for costs for 2007/08 and 2008/09 (Appendix B – Tables B1 to B4). We recommend the approval of the compliance plan for 2006/07 and that the estimates for the following two years be noted.
3. In addition to surveys sponsored directly by the department, DTI also relies heavily on surveys conducted by the Office for National Statistics (ONS) to provide the statistical information it requires. In 2001/02 the ONS agreed to a major expansion in the International Trade in Services survey (ITIS), continuing a programme of work started in 2000/01 to provide improved information on exports of services. This information is needed to support the activities of UK Trade and Investment and enables data to be produced on the exports and imports of services to a wider range of partner countries and also leads to improved regional estimates of the exports of services. The additional running costs needed for this enhancement were absorbed by the ONS, but the additional compliance costs were divided between ONS and the DTI, which added an (approved) £689,000 to the DTI compliance ceiling in 2005/06. As this enhancement will continue for 2005/2006 and remains of benefit to DTI, a contribution of £689,000 to the additional cost to be incurred is recommended for 2006/07.
4. Additionally, in 2004/05 and thereafter the department was asked by ONS to contribute towards the increased compliance costs for the e-Commerce inquiry. This is required to continue to boost the sample to cover an increased range of sectors and micro businesses, and to add extra questions to the survey. These actions will enable DTI to obtain from the ONS survey data measures of government e-commerce and ICT use targets. In 2005/06 the DTI added an approved £87,500 to the compliance ceiling and it is recommended for 2006/07.
5. Further to the known activity for 2006/07 there are also likely to be a number of as yet un-planned surveys throughout the year, full details of which are not yet available. Working on the number and costs of such surveys carried out over the last three years, it is recommended that an

additional £350,000 be added to the estimated compliance costs for the year 2006/07.

6. Table B5 summarises proposed survey activity for the financial year 2006/07. This includes survey activity within DTI and also compliance contributions being sought for ONS-run surveys. **Compliance costs for the proposed survey activity during the next financial year are likely to be around £9.4 million** with the total number of contacts estimated at around 436,000. These figures are lower than the estimates for 2005/06 given at this stage last year.

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Tables Forward Compliance Plan on Survey Activity

Table B1: Number of Surveys: DTI survey activity comparison

Survey type	Actual		Estimate		
	2004/5 ¹	2005/6	2006/7	2007/8	2008/9
Regular surveys	46	61	52	55	49
Ad-hoc surveys	38	42	16	6	1
Total	84	103	68	61	50

Table B2: No of Contacts: DTI survey activity comparison

Survey type	Actual		Estimate		
	2004/5	2005/6	2006/7	2007/8	2008/9
Regular surveys	411,090	440,978	408,837	409,532	398,417
Ad-hoc surveys	59,120	52,952	27,090	10,354	300
Total	470,210	493,930	435,927	419,886	398,717

Table B3: DTI Cost: DTI survey activity comparison

Survey type	Actual £ thousands		Estimate £ thousands		
	2004/5	2005/6	2006/7 ²	2007/8	2008/9
Regular surveys	1,854	2,226	1,957	2,060	1,755
Ad-hoc surveys	1,523	1,401	297	259	40
Total	3,377	3,627	2,254	2,319	1,795

Table B4: Compliance Cost: DTI survey activity comparison

Survey type	Actual £ thousands		Estimate £ thousands		
	2004/5	2005/6	2006/7	2007/8	2008/9
Regular surveys	8,330	9,614	8,893	8,864	8,765
Ad-hoc surveys	427	498	187	79	5
Un-planned			350	350	350
Total	8,757	10,112	9,430	9,293	9,120

Notes to tables:

1. Revised figures for actual number of surveys 2004/5
2. There is often insufficient data to accurately forecast DTI cost
3. Estimated figures for 2007/08 onwards are not very reliable, as survey sponsors may not yet know of future survey work in their Divisions.
4. The estimated compliance costs for 2007/08 and 2008/09 are calculated based on 2006/07 set hourly rate of pay of the person completing the questionnaire. It is important to note that the ONS set hourly rate tends to increase and the increase has been very variable since 1992, the increase from 2005/06 to 2006/07 was 3.5% on average.

Table B5: Forward compliance plan 2006/07 provisional list of surveys

Directorate	Survey Sponsor	Survey Title	Frequency	Number of contacts	Cost to DTI	Compliance cost
BNSC	Thasos Kiratzi	UK participants at the International astronomical Congress Reception	Regular	6 £	- £	184
BR1	Patrick Mulligan	BR/Energy Group Customer Survey	Regular	344 £	30,000 £	5,298
BR1	Ian Young	Statistical survey of the biotechnology sector	Regular	520 £	80,000 £	5,182
CCP	Carol Murray	Competition Commission Stakeholder survey	Regular	100 £	20,000 £	1,719
EMU	Suhail Siddiqui	DTI Oil and Natural Gas Survey	Regular	48 £	- £	5,080
EMU	Sara Atkins	Company Fuel Poverty Initiatives	Regular	9 £	- £	1,419
EMU	Sara Atkins	Form D Net Sales Proceeds	Regular	9 £	- £	184
EMU	Sara Atkins	Transparency of consumer energy prices - breakdown of consumers and consumption	Regular	26 £	- £	1,670
EMU	Sara Atkins	Price Transparency survey	Regular	52 £	- £	4,245
EMU	Sara Atkins	Generators Inquiry	Regular	88 £	- £	5,653
EMU	Sara Atkins	Domestic Fuels Inquiry	Regular	92 £	- £	8,865
EMU	Sara Atkins	Form A Crude Oil imports	Regular	96 £	- £	980
EMU	Sara Atkins	New Price Transparency Survey	Regular	100 £	- £	9,636
EMU	Sara Atkins	Form C: Prices paid by final consumers petroleum products	Regular	228 £	- £	2,327
EMU	Sara Atkins	Producer Price Index	Regular	516 £	- £	20,717
EMU	Sara Atkins	Quarterly Fuels Inquiry	Regular	4800 £	130,718 £	97,968
EMU	Sara Atkins	Weekly oil product prices	Regular	520 £	- £	2,653
ERDU	Jackie Scott	Ad-hoc surveys	Ad-Hoc (One-off)	300 £	40,000 £	5,000
ESU	Joe Ewins	Survey of generators, distributors and suppliers of electricity	Regular	1300 £	- £	64,523
ESU	Joe Ewins	Electricity Generators Inquiry	Regular	798 £	215,000 £	28,920
ESU	Joe Ewins	Survey of gas suppliers	Regular	196 £	- £	3,348
ESU	Joe Ewins	Survey of Coal Producers	Regular	108 £	- £	2,286
ESU	Joe Ewins	Renewable Energy Statistics	Regular	90 £	70,010 £	1,227
IES	Frances Pottier	Annual Inquiry (also known as Small Firms Return)	Regular	171000 £	206,000 £	2,746,260
IES	Frances Pottier	Monthly Inquiry of Contracts and New Orders	Regular	66000 £	44,000 £	2,095,335
IES	Frances Pottier	Quarterly enquiry of Activity for Construction and Allied Trades	Regular	48000 £	328,000 £	2,019,090
IES	Frances Pottier	Quarterly Inquiry of Projects in Progress (Probe)	Regular	10000 £	215,000 £	317,475
IES	Frances Pottier	Building Materials Inquiries	Regular	3900 £	50,000 £	133,720
IES	Frances Pottier	Annual Minerals Raised Inquiry	Regular	1340 £	- £	86,688
IES	Frances Pottier	Building and Civil Engineering Employment and Output Inquiry (DLO)	Regular	712 £	26,000 £	30,139
IG TI	Jessica Rushworth	Impact Assessment of the Measurement for Innovators programme	Ad-Hoc (One-off)	400 £	32,078 £	5,135
INSS	Anne Crabbe	Comments/Suggestions Card	Regular	50000 £	3,600 £	80,300
INSS	Anne Crabbe	Stigma of Bankruptcy survey (Sole Proprietors)	Ad-Hoc (One-off)	7000 £	17,000 £	44,150
INSS	Anne Crabbe	Discharge Creditor Survey	Ad-Hoc (One-off)	2500 £	1,435 £	5,291
INSS	Anne Crabbe	BRO creditor survey	Ad-Hoc (One-off)	2500 £	1,064 £	12,524
INSS	Anne Crabbe	Business Confidence in Enforcement Regime (NoPs)	Ad-Hoc (One-off)	2000 £	19,000 £	12,211
INSS	Anne Crabbe	Survey of IPs' views on Financial Regime	Ad-Hoc (One-off)	1700 £	46 £	11,088
INSS	Anne Crabbe	IPs Survey (Dear IP)	Regular	1700 £	340 £	4,854
INSS	Anne Crabbe	Insolvents Creditors Survey	Regular	300 £	- £	927
INSS	Anne Crabbe	Business Confidence in Enforcement Regime (NoPs)	Regular	200 £	19,000 £	2,444
INSS	Anne Crabbe	Survey of Creditors' views on Financial Regime	Ad-Hoc (One-off)	100 £	- £	485
INSS	Anne Crabbe	Insolvency Service Creditors survey	Regular	100 £	52 £	447
INSS	Anne Crabbe	Complainants survey	Ad-Hoc (One-off)	50 £	300 £	242
ONS	Tim Andrews	e-Commerce	Regular	12000	- £	87,500
ONS	Tim Andrews	ITIS	Regular	9000	- £	689,000
OST SEB	Simon Bright	Knowledge Transfer Survey for Public Sector Research Establishments 2006/07	Regular	140 £	13,000 £	9,345
OST SEB	Simon Bright	Knowledge Transfer Survey for Public Sector Research Establishments 2005/06	Regular	140 £	13,000 £	9,345
OST SEB	Simon Bright	Annual survey on financial sustainability of PSREs during 2006/07	Regular	43 £	89,000 £	2,870
Other	Deborah Collins	legal D stakeholder survey	Regular	6 £	- £	284
Other	Mary Leonard	BusinessLink Impact Assessment	Regular	20 £	- £	492
PATS	Nicki Curtis	Patent Searching Requirements Of UK Patent Agents	Ad-Hoc (One-off)	40 £	- £	699
PATS	Patents Management Board PMB	Patents and Designs Journal customer survey	Ad-Hoc (One-off)	1000 £	- £	10,512
SBS	Anne Claytor	Annual Small Business Survey	Regular	5500 £	182,150 £	151,375
SBS	Beatrice Parrish	Evaluation of the Manufacturing Advisory Service	Ad-Hoc (One-off)	5000 £	56,000 £	41,550
SBS	Beatrice Parrish	Business Support Cross Product Monitoring Survey	Regular	4000 £	60,000 £	36,123
SBS	Beatrice Parrish	Business Support Cross Product Monitoring Survey of intermediaries	Regular	2500 £	40,000 £	18,373
SBS	Beatrice Parrish	Survey of non-users of the Manufacturing Advisory Service	Ad-Hoc (One-off)	2000 £	20,000 £	10,854
SBS	Ian Drummond	Call off contract for focus groups on small business issues	Regular	108 £	- £	8,609
SU	Angela Latta	MORI - Captains of Industry Survey 2005	Regular	132 £	14,850 £	5,203
SU	Angela Latta	MORI - Corporate Stakeholder Survey 2006	Regular	13 £	14,200 £	5,913
TISD	Beth White	Global Watch Service Impact Assessment Survey	Regular	1000 £	20,938 £	9,980
UKTI SCG	Heather Booth di Giovanni	Non-user survey	Regular	6000 £	- £	22,152
UKTI SCG	Heather Booth di Giovanni	International Trade Support Performance Monitoring	Regular	3500 £	72,000 £	33,611
UKTI SCG	Heather Booth di Giovanni	Evaluation of specified trade support activities	Ad-Hoc (One-off)	1500 £	35,000 £	15,333
UKTI SCG	Heather Booth di Giovanni	Evaluation of Passport	Ad-Hoc (One-off)	500 £	40,000 £	6,826
UKTI SCG	Heather Booth di Giovanni	Evaluation of International Sector Group activities	Ad-Hoc (One-off)	500 £	35,000 £	5,111
UKTI SCG	UKTI - IIG	Awareness tracking survey	Regular	1000 £	- £	9,616
XNP	Martin Rudduck	CWC UK NATIONAL AUTHORITY CUSTOMER SATISFACTION SURVEY	Regular	437 £	- £	1,874
Un-planned surveys						£ 350,000
Total				£ 435,927	£ 2,253,780	£ 9,430,441